

Guarantee Advise Amendment User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Advise Amendment User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office Platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during Transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Amendment Advise

As part of Guarantee Amendment Advise, the advising bank advises an amendment of incoming Guarantee/ SBLC received from the issuing bank through SWIFT MT 767 to the beneficiary and Guarantee/SBLC through SWIFT MT 767 in favour of a beneficiary through an Advise Through Bank.

In the following sections, let's look at the details for Guarantee Amendment Advising process:

This chapter contains the following topics:

[Registration](#)

[Data Enrichment](#)

[Multi Level Approval](#)

[Customer - Acknowledgement](#)

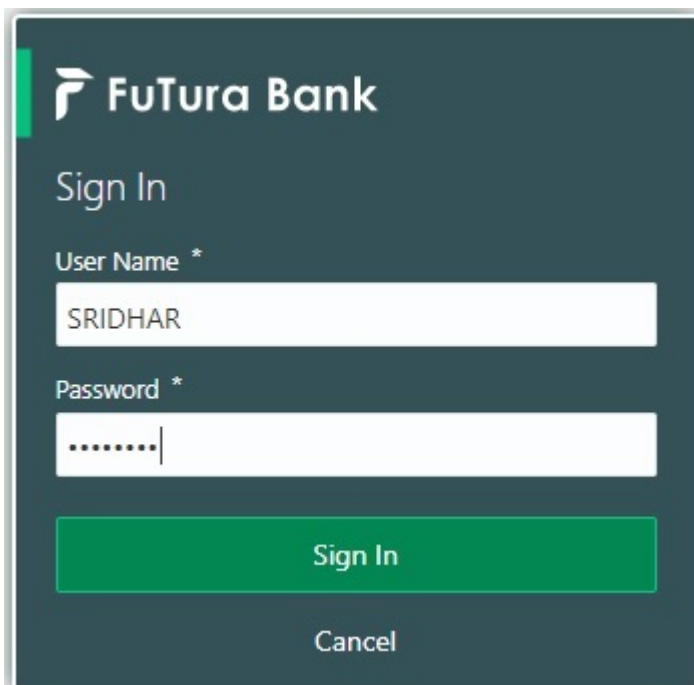
Registration

As a Registration user, you can register an amendment to a Guaranteed/SBLC advised request, also can upload relevant documents and verify checklist items.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

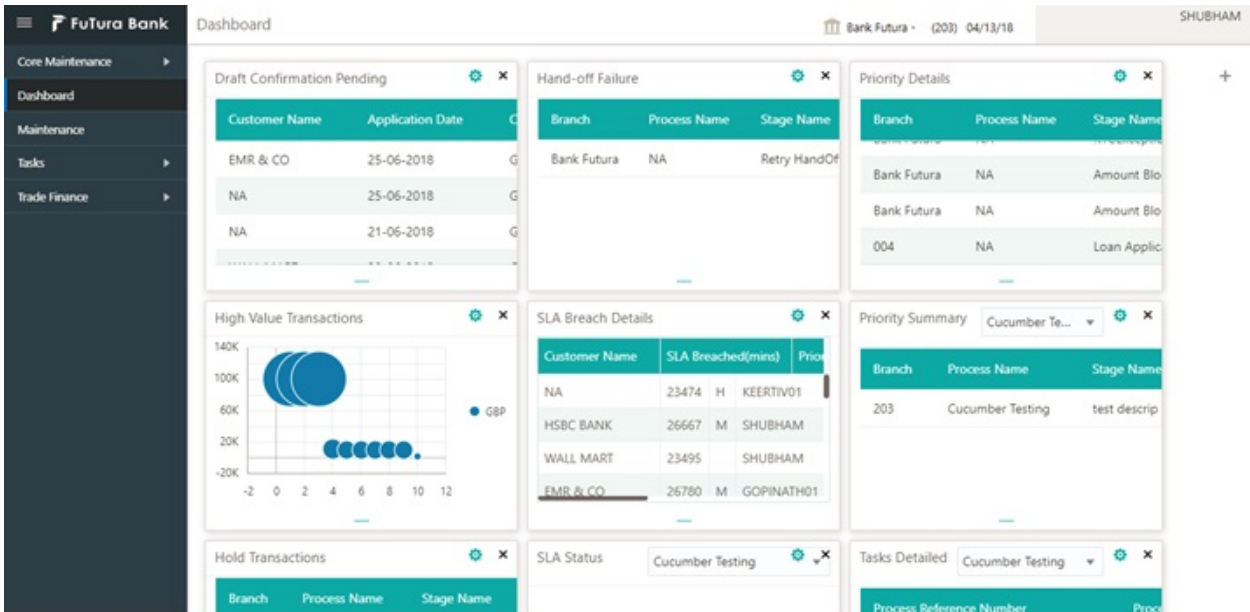
Password *

.....

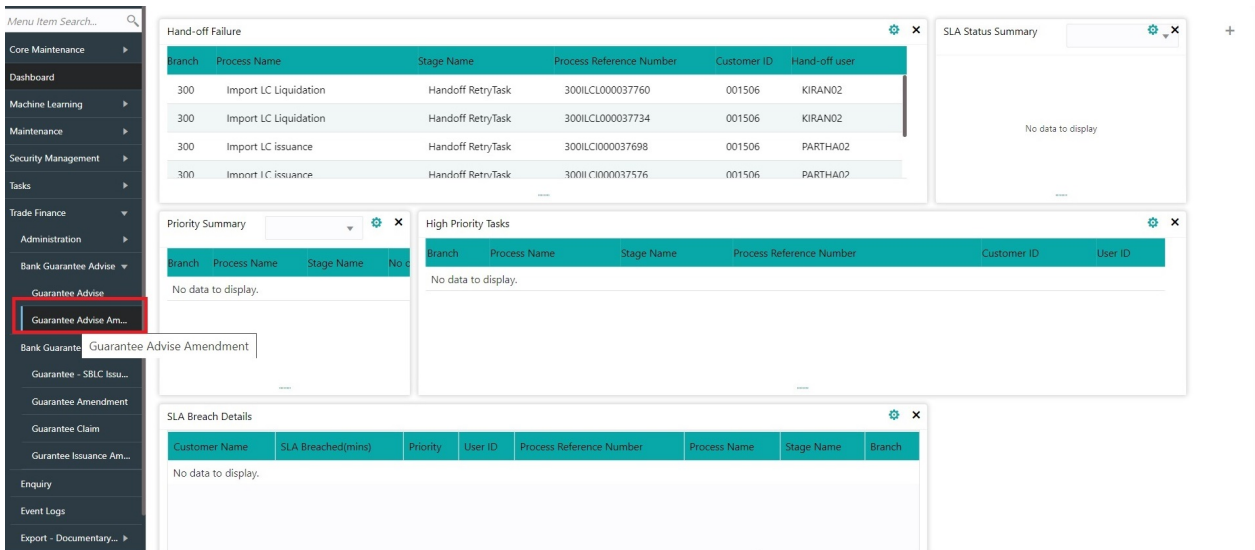
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.




3. Click Trade Finance > Bank Guarantee Advise > Guarantee Advise Amendment.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the Registration stage:

Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	Select the advising bank reference number.	
Beneficiary	Read only field. System defaults the beneficiary as per the Guarantee/SBLC advised.	001345
Branch	Read only field. Branch Name will be auto-populated from Guarantee /SBLC Advise.  Note Once the request is submitted, Branch field is non-editable.	203-Bank Futura -Branch FZ1
Priority	This field will be defaulted based on the priority maintenance. If priority is not maintained for a customer, 'Medium' priority will be defaulted. User can change the priority populated any time before submit of Registration stage as per the requirement.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Guarantee Advice request. Desk - Request received through Desk Courier - Request received through Courier Email - Request received through Email SWIFT-Non STP - Request received through SWIFT-Non STP to register the task for the failed STP messages (MT798 and other MT Messages) Fax - Request received through Fax	Desk
Amendment Number	Read only field. Amendment number will be auto-populated based on the back-end simulation.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV00 15920
Issuing Bank	Read only field. System defaults the issuing bank as per the Guarantee/SBLC advised. Party type with banks will only be displayed in LOV.	
Amendment Date	Read only field. System will defaults the current system date. Back dating not allowed, if the amendment is authorized on a later date, system should update the branch date of authorization as the Amendment date.	04/13/2018
Beneficiary Consent Required	Toggle on: Beneficiary consent required for the amendment made to the fields. Toggle off: Switch off the toggle if beneficiary consent is not required for the amendments.	

Guarantee Details

Registration user can provide Guarantee Details in this section.

Guarantee Details

22D - Form of Undertaking
DGAR - Guarantee

32B - Undertaking Amount *
AED 80,000.00

22A - Purpose of Message
Advice of amendment to issued ...

40C - Narrative
OTHR

23B - Expiry Type
OPEN

35G - Expiry Condition/Event

39F - Supplementary Information About Amount

20 - Undertaking Number
asdasd

Amount in Local Currency
AED 80,000.00

23X - File Identification

22K - Type of Undertaking
BILL - Bill of lading

Date of Expiry
Aug 2, 2026

Applicant
032207 Emaar Properties

Closure Date *
Sep 1, 2026

Product Code
GUAD

Contract Reference Number
032GUAD232140506

23X - Narrative

22K - Narrative

Auto Renewal

51 - Obligor/ Instructing Party

Product Description
Guarantee Advising

User Reference Number
032GUAD232140506

40C - Applicable Rules
OTHR - Other delivery channel

30 - Date of Issue
Aug 2, 2023

Extension Required


Advise Through Bank

Hold Cancel Save & Close Submit

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking defaults from Guarantee/ SBLC Advise.	Form of Undertaking
Undertaking Number	Read only field. System defaults the value from Guarantee/ SBLC Advise.	
Product Code	Read only field. System defaults the value from Guarantee/ SBLC Advise.	GUIA
Product Description	Read only field. Description of the product. System defaults the value from Guarantee/ SBLC Advise.	Guarantee Advising
Undertaking Amount	System defaults the value from Guarantee/ SBLC Advise. The user can change the undertaking amount but not the currency.	
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Contract Reference Number	Read only field. Auto-generated by back end application. Number will be populated based on the Product Code.	203GUIS18103 ALP5
User Reference Number	Read only field. System defaults the user reference number from Guarantee/ SBLC Advise.	PK2GUI121144 0001
Purpose of message	Select the purpose of message from the LOV. Values can be: <ul style="list-style-type: none"> • Advice and confirmation of amendment to issued undertaking • Advice of amendment issued undertaking In case of STP of Incoming MT 767, system displays the value available in incoming MT 767.	
File Identification	Type of delivery channel and associated file name or reference. Enter the value available in the amendment instrument. In case of STP of Incoming MT 767, system displays the value available in incoming MT 767.	

Field	Description	Sample Values
Narrative	Enter the value available in the amendment instrument. If applicable. In case of STP of Incoming MT 767, system displays the value available in incoming MT 767.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advise.	URDG - Uniform rules for demand guarantees
Narrative	Narrative if Applicable Rules value is OTHR.	
Type of Undertaking	Read only field. System defaults the value from Guarantee/ SBLC Advise.	Financial Guarantee
Narrative	Provide the details of any other type of local undertaking. This field is applicable if the Guarantee Type of Undertaking has value as OTHR .	
Date of Issue	Read only field. System defaults the value from Guarantee/ SBLC Advise.	04/13/18
Expiry Type	Validity of the guarantee. System defaults the value from Guarantee/ SBLC Advise. User can amend the field if required. The options are: <ul style="list-style-type: none"> • COND - With Expiry • COND - Without Expiry • FIXD - Specified expiry date (with/without automatic expansion) • OPEN - No specific date of expiry 	
Date Of Expiry	Expiry Date of Guarantee. System defaults the value from Guarantee/ SBLC Advise. User can amend the field if required. This field is applicable only if Expiry Type is COND - With Expiry or FIXD .	09/30/18
Auto Renewal	Enable the option for auto renewal. This field is enabled if Applicable Rules is URDG - Uniform rules for demand guarantees and Expiry Type is OPEN .	
Extension Required	Read only field. Indicated if extension is required for guarantee advise amendment or not.	

Field	Description	Sample Values
Expiry Condition/Event	<p>This field specifies the documentary condition/event that indicates when the local undertaking will cease to be available.</p> <p>System defaults the value from Guarantee/ SBLC Advise. User can amend the field if required.</p> <p>This field is applicable only if Date of Expiry field value is COND - With Expiry and COND - Without Expiry.</p>	
Applicant	<p>Read only field.</p> <p>Applicant details will be auto populated from Guarantee/ SBLC Advise.</p>	001345 Nestle
Obligor/ Instructor Party	<p>Read only field.</p> <p>Name of the obligor. System defaults the value from Guarantee/ SBLC Advise.</p>	
Advising Through Bank	<p>Read only field.</p> <p>System defaults the value from Guarantee/ SBLC Advise.</p> <p> Note In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".</p>	001343 - Bank Of America
Supplementary Information About Amount	<p>Read only field.</p> <p>System defaults any additional information about amount related to undertaking.</p>	
Closure Date	<p>System default the "Closure Date" value from the previous version of the contract.</p> <p>User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> ● Closure Date must be after the Issue Date. ● Closure Date must be after the Expiry Date. ● Closure Date cannot be blank 	

Miscellaneous

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>
Documents	Upload the required documents. E.g.: Guarantee/SBLC Amendment Application, Indemnity, Counter Guarantee
Remarks	Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request.
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Guarantee/SBLC	Clicking this button allows the user to view the snapshot of latest Guarantee Advised details.

Field	Description
Guarantee/SBLC Events	On Clicking this button, user can view the snapshot of various events under the Guarantee Advised of guarantee and amendments, if any in chronological sequence.
Action Buttons	
Submit	On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advise Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.
Cancel	Cancels the Guarantee Advise Amendment. Registration stage inputs.
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.
Checklist	Make sure that the details in the checklist are completed and acknowledge.

Document Linkage

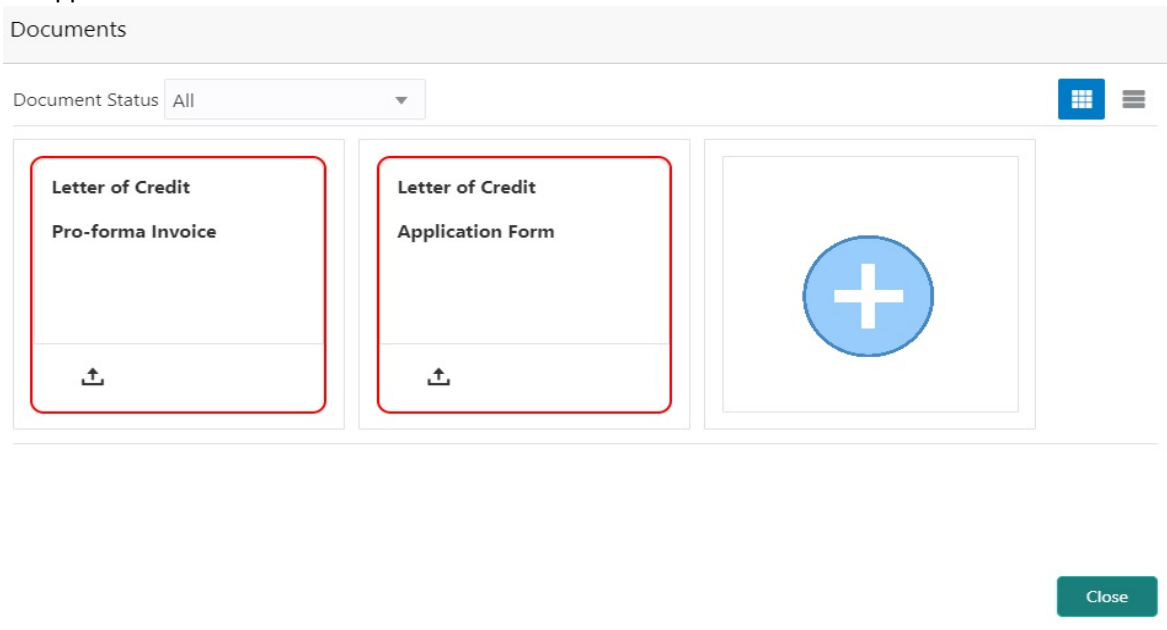
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	

Field	Description	Sample Values
Document Code	This field displays the document code from meta data.	
Upload Date	The field displays the upload date of the document.	
Reference Number	The field displays the reference number of the document.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id *
032204

Document Type *
Documentary Collection

Document Id

Document Code *
Insurance Policy

[Fetch](#)

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
Link	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
Link	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page 1 of 2 (1-5 of 7 items) [K](#) < 1 2 > [X](#)

[Close](#)

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

Edit Document

Document Id: 2400

Document Title: wqwq

Application Reference Number: PK2ILCI000019041

Entity Reference Number: PK2ILCI000019041

Document Type Id: TFPM_DOCTYPE001

Document Description:

Remarks:

Document Expiry Date: Jun 29, 2022

Drop files here or click to select

Current selected files: []

Update Cancel

Data Enrichment

As part of Data Enrichment, you can update the details already captured in Registration stage. If details are not captured in DE stage, you can input the details.

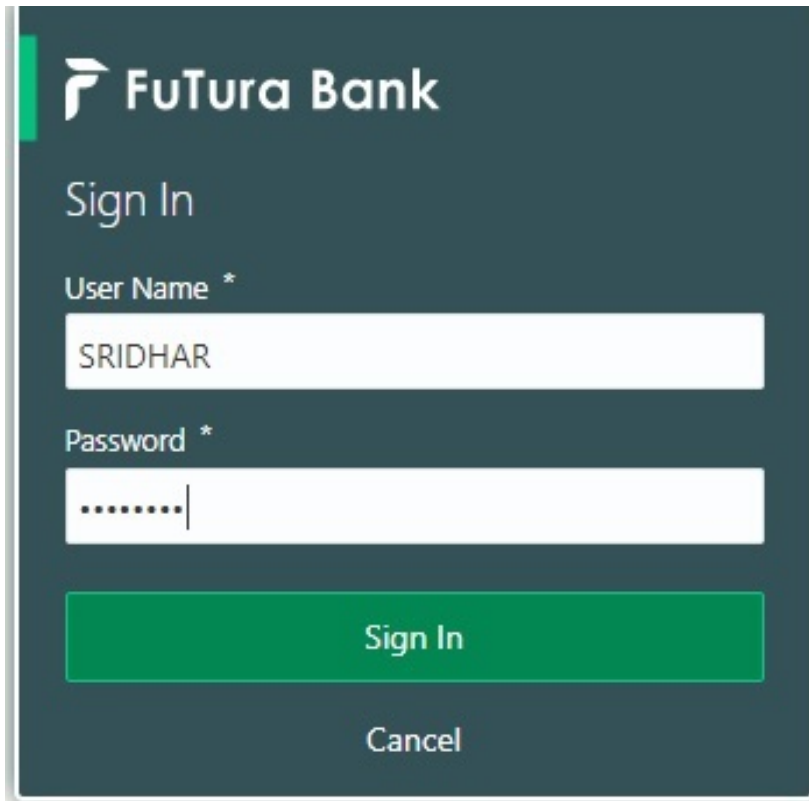


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the registration and currently at Data enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.
3. Click **Trade Finance> Tasks> Free Tasks**.

ORACLE Free Tasks (300) Jan 1, 2016 JEEVA02 subham@gmail.com

Menu Item Search...

Refresh Acquire Assign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Num
<input type="checkbox"/> Acquire & Edit	M	Guarantee Advise Amen...	300GTAA000038012	300GTAA000038012	DataEnrichment	20-10-22	300	001505
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000038011	300ILCI000038011	Reject Approval	20-10-22	300	001506
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000038005	300GTAA000038005	DataEnrichment	20-10-22	300	001507
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000038004	300GTAA000038004	DataEnrichment	20-10-22	300	001507
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000037998	300ILCI000037998	Scrutiny	20-10-22	300	001506
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000037995	300GTAA000037995	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000037994	300GTAA000037994	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000037985	300GTAA000037985	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000037983	300GTAA000037983	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000037979	300ILCI000037979	Approval Task Level 1	20-10-21	300	001506
<input type="checkbox"/> Acquire & Edit	M	Guarantee Advise	000GTEA000037972	000GTEA000037972	Scrutiny	20-10-21	300	
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000037969	300ILCI000037969	Scrutiny	20-10-21	300	001506
<input type="checkbox"/> Acquire & Edit	M	Export Documentary Co...	300EDCU000037960	300EDCU000037960	Approval Task Level 1	20-10-21	300	001506

Page 1 of 5 (1 - 20 of 84 items) K < 1 2 3 4 5 > X

4. Select the appropriate amendment task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

The screenshot shows the Oracle 'Free Tasks' interface. A table lists various tasks with columns for Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The first row's 'Acquire & Edit' button is highlighted with a red box. The table contains 84 items in total, with the first page showing 20 items.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & Edit	M	Guarantee Advise Ame...	300GTAA000038012	300GTAA000038012	DataEnrichment	20-10-22	300	001505
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000038011	300ILCI000038011	Reject Approval	20-10-22	300	001506
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000038005	300GTAA000038005	DataEnrichment	20-10-22	300	001507
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000038004	300GTAA000038004	DataEnrichment	20-10-22	300	001507
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000037998	300ILCI000037998	Scrutiny	20-10-22	300	001506
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000037995	300GTAA000037995	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000037994	300GTAA000037994	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000037985	300GTAA000037985	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Acquire & Edit		Guarantee Advise Amen...	300GTAA000037983	300GTAA000037983	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000037979	300ILCI000037979	Approval Task Level 1	20-10-21	300	001506
<input type="checkbox"/> Acquire & Edit	M	Guarantee Advise	000GTEA000037972	000GTEA000037972	Scrutiny	20-10-21	300	
<input type="checkbox"/> Acquire & Edit	M	Import LC Issuance	300ILCI000037969	300ILCI000037969	Scrutiny	20-10-21	300	001506
<input type="checkbox"/> Acquire & Edit	M	Export Documentary Co...	300EDCU000037960	300EDCU000037960	Approval Task Level 1	20-10-21	300	001506

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

The screenshot shows the Oracle 'My Tasks' interface. A table lists various tasks with columns for Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The first row's 'Edit' button is highlighted with a red box. The table contains 77 items in total, with the first page showing 20 items.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Edit	M	Guarantee Advise Ame...	300GTAA000038012	300GTAA000038012	DataEnrichment	20-10-22	300	001505
<input type="checkbox"/> Edit		Guarantee Advise Amen...	300GTAA000038010	300GTAA000038010	Registration	20-10-22	300	001505
<input type="checkbox"/> Edit	M	Import LC Issuance	000ILCI000037993	000ILCI000037993	Scrutiny	20-10-21	300	000947
<input type="checkbox"/> Edit		Guarantee Advise Amen...	300GTAA000037987	300GTAA000037987	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Edit		Guarantee Advise Amen...	300GTAA000037984	300GTAA000037984	DataEnrichment	20-10-21	300	001507
<input type="checkbox"/> Edit		Guarantee Advise Amen...	300GTAA000037971	300GTAA000037971	Registration	20-10-21	300	001507
<input type="checkbox"/> Edit		Guarantee Issuance	300GTEI000037970	300GTEI000037970	Scrutiny	20-10-21	300	001605
<input type="checkbox"/> Edit		Guarantee Advise	300GTEA000037967	300GTEA000037967	Scrutiny	20-10-21	300	001605
<input type="checkbox"/> Edit	M	Import LC Issuance	000ILCI000037911	000ILCI000037911	Scrutiny	20-10-20	300	000823
<input type="checkbox"/> Edit	M	Import LC Issuance	000ILCI000037921	000ILCI000037921	DataEnrichment	20-10-20	300	000823
<input type="checkbox"/> Edit		Guarantee Claim Lodging	300GTCE000037902	300GTCE000037902	Approval Task Level 1	20-10-20	300	
<input type="checkbox"/> Edit	M	Import LC Internal Ame...	300ILCI000037901	300ILCI000037901	Approval Task Level 1	20-10-20	300	001506
<input type="checkbox"/> Edit		Guarantee Claim Lodging	300GTCE000037893	300GTCE000037893	DataEnrichment	20-10-20	300	001505

The Guarantee Advise Amendment - Data Enrichment stage has sections as follows:

- Main Details
- Guarantee Preference
- Amendment Snapshot
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Advise Amendment - Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and **Beneficiary Consent Required** fields. Refer to [Application Details](#) for more information of the fields.

The screenshot displays the Oracle application interface for a Guarantee Advise Amendment. The main section is titled 'Application Details' and contains the following fields:

- Advising Bank Reference Number:** 032GUAD232140506
- Submission Mode:** Desk
- Amendment Date:** Aug 3, 2023
- Beneficiary:** 032204 Air Arabia
- Amendment Number:** 3
- Beneficiary Consent Required:**
- Branch:** 032-Oracle Banking Trade Finan...
- Process Reference Number:** 032GTAA000168053
- Priority:** Medium
- Issuing Bank:** 032312 MASHREQ BAN
- 22D - Form of Undertaking:** DGAR - Guarantee
- 20 - Undertaking Number:** asdasd
- Product Code:** GUAD
- Product Description:** Guarantee Advising
- 32B - Undertaking Amount:** AED 80,000.00
- Amount In Local Currency:** AED 80,000.00
- Contract Reference Number:** 032GUAD232140506
- User Reference Number:** 032GUAD232140506
- 22A - Purpose of Message:** Advice of amendment to issued ...
- 23X - File Identification:**
- 23X - Narrative:**
- 40C - Applicable Rules:** OTHR - Other delivery channel
- 40C - Narrative:** OTHR
- 22K - Type of Undertaking:** BILL - Bill of lading
- 22K - Narrative:**
- 30 - Date of Issue:** Aug 2, 2023
- 23B - Expiry Type:** OPEN
- Date of Expiry:** Aug 2, 2026
- Auto Renewal:**
- Extension Required:**
- 35G - Expiry Condition/Event:**
- Applicant:** 032207 Emaar Properties
- 51 - Obligor/ Instructing Party:**
- 39F - Supplementary Information About Amount:**
- Closure Date:** Sep 1, 2026

Buttons at the bottom include: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. User can Input/ update the fields except the Product Code field.

The screenshot displays the Oracle application interface for a Guarantee Advise Amendment, focusing on the 'Guarantee Details' section. The fields are the same as in the previous screenshot, but the 'Product Code' field (GUAD) is highlighted as read-only.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>

Field	Description
View Undertaking	Clicking this button allows the user should to view the undertaking details.
View Events	Click View Events button to view the snapshot of various events under the Guarantee Advised Amendment.
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others.
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	Cancel the Guarantee Advice Scrutiny inputs.

Field	Description
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request

Guarantee Preferences

If the amendment request is non online, the user can capture the amendment details. And if the request is online, the amendment details get auto populated in the fields.

Provide the preferences details based on the description in the following table:

Field	Description	Sample Values
Terms and Conditions	Specifies the applicable terms and conditions of the undertaking that are not already mentioned in any other field. System defaults the Advise value, field can be amended. Select the terms and conditions from the LOV that are not already mentioned. The field displays the content from MT767 and all the applicable MT 775.	
Sender to Receiver Info	System defaults the details from the incoming SWIFT sender to receiver in this field.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Overrides	Click to view overrides, if any.
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	This button displays the multiple messages (MT767+ up to 7 MT775). Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
View Undertaking	Clicking this button allows the user should to view the undertaking details.
View Events	Click View Events button to view the snapshot of various events under the Guarantee Advised Amendment.

Field	Description
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>
Request Clarification	<p>On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p>
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others.
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	<p>Cancel the Guarantee Advice Scrutiny inputs.</p>
Next	<p>Task will get moved to next logical stage of Guarantee Advise Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>

Field	Description
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request

Amendment Snapshot

At this stage, user can view all the field tags that are amended. Corresponding to the field the latest Guarantee /SBLC value before amendment and the new amended value is displayed.

Provide the amendment details based on the description in the following table:

Field	Description	Sample Values
Sequence B		
Field Name	The fields that are amended.	
Amended Value	The Guarantee /SBLC value before amendment.	
Value as per Undertaking	The Guarantee /SBLC new amendment value after amendment.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.

Field	Description
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>
Overrides	<p>Click to view overrides, if any.</p>
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>
View Events	<p>Click View Events button to view the snapshot of various events under the Guarantee Advised Amendment.</p>
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>

Field	Description
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
Cancel	Cancel the Guarantee Advice Amendment inputs.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
View Events	Click View Events button to view the snapshot of various events under the Guarantee Advised Amendment.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Advise Amendment inputs.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot displays the Oracle application interface for a 'Guarantee Advise Amendment' task. The top navigation bar shows 'ORACLE My Tasks' and 'JEEVAQ2 subham@gmail.com'. The main content area is titled 'Guarantee Advise Amendment - DataEnrichment :: Application No: PK2GTAA000038606'. The left sidebar contains a navigation menu with options: Main, Guarantee Preference, Amendment Snapshot, Additional Fields, Advices (selected), Additional Details, and Summary. The 'Advices' section shows a list of advices, with one selected: 'Advice: PAYMENT_MESS...'. The details for this advice are: Advice Name: PAYMENT_MESSAGE, Advice Party: , Party Name: , Suppress: NO, and Advice: . The bottom toolbar contains buttons for: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next. The bottom right corner indicates 'Screen (5 / 7)'.

The user can also suppress the Advice, if required.

Advice Details
✕

▲ Advice Details

Suppress Advice

Advice Name

Medium

Advice Party

Party ID

Party Name

▲ FFT Code +


FFT Code	FFT Description		Action
29BNKCNTACT		✎	✎ ✖

▲ Instructions +

Instruction Code	Instruction Description	Edit	Action
E202	. IN REIMBURSEMENT PLEASE TELE-REMIT THE FUND	✎	✎ ✖

OK
Cancel

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Advised</p>	
Medium	<p>The medium of advices is defaulted from the system. User can update if required.</p>	
Advice Party	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Advised.</p>	
Party ID	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Advised.</p>	
Party Name	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Advised.</p>	
Free Format Text	<p>Click plus icon to add new FFT code.</p>	
<div style="background-color: #20a397; color: white; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center; margin: 0 auto;">+</div>		

Field	Description	Sample Values
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected. User can update if required.	
Edit icon	Click edit icon to edit the existing FFT description.	
Action	Click edit icon to edit the existing FFT code. Click delete icon to remove any existing FFT code.	
Instruction Details		
	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected. User can update if required.	
Edit icon	Click edit icon to edit the existing Instruction description.	
Action	Click edit icon to edit the existing Instruction code. Click delete icon to remove any existing Instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	

Field	Description	Sample Values
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
View Events	Click View Events button to view the snapshot of various events under the Guarantee Advised Amendment.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	

Additional Details

In the Additional details section, Guarantee /Standby amendment can have impact on the Limits and Collaterals section.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

For non-financial and narrative field amendments, the Limits and Collaterals screen will be read only. User cannot make changes.

The screenshot shows the Oracle Guarantee Advise Amendment interface. The top navigation bar includes the Oracle logo, user information (ZARTAB02, subham@gmail.com), and the date (May 24, 2021). The main content area is titled 'Guarantee Advise Amendment' and 'DataEnrichment :: Application No:- PK2GTAA000025563'. A sidebar on the left contains navigation options like Main, Guarantee Preference, Amendment Snapshot, Additional Fields, Advices, Additional Details (selected), Settlement Details, and Summary. The 'Additional Details' section is displayed as three tiles: 'Limit & Collateral', 'Charge Details', and 'Preview Message'. The 'Limit & Collateral' tile lists fields like Contribution Currency, Amount, Status, Collateral Currency, Collateral, Contribution, and Status. The 'Charge Details' tile lists Charge, Commission, Tax, and Block Status. The 'Preview Message' tile shows Language and Guarantee Number (PK2GUAD21125A15R). At the bottom, there are buttons for Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Limit and Collateral

There is change in limits, if the below fields were amendment.

- Increase in Amount
- Increase in Expiry Date
- Increase in Tolerance

The additional details are displayed as tile. The tiles displays a list of important fields with values. User will be able to drill down from tiles into respective data segments. User can select the tile, an update the respective details.

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number “to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office

Provide the Limit Details based on the description in the following table:

Limits Details.

Limit & Collateral
✕

Limit Details +

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	Edit
032204	Facility	032204	032204AD1	1	100	AED	1000	Not Available		03

Cash Collateral Details

Collateral Percentage * Collateral Currency and amount Exchange Rate

AED 1.0

+

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Respons
1	AED	0322040001	1	NaN	0	AED 0.00	VS

Deposit Linkage Details +

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
000CD01230310001	USD	Apr 2, 2023	AED	366633	450	000CD01230310001	

Page 1 of 1 (1 of 1 items) Save & Close Close

Limit Details
✕

Customer Id

Contribution % *

Contribution Currency

Limit/Liability Currency

Limit Check Response

Expiry Date

Response Message

Linkage Type *

Liability Number *

Line Id/Linkage Ref No *

Limits Description

Amount to Earmark *

Limit Available Amount


ELCM Reference Number

Verify
Save & Close
Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
	Click plus icon to add new Limit Details.	

Field	Description	Sample Values
<p>Limit Details</p> <p>Click + plus icon to add new limit details.</p> <p>Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.</p>		
Customer ID	<p>Applicant's/Applicant Bank customer ID will get defaulted.</p> <p>User can change the customer ID.</p>	
Linkage Type	<p>Select the linkage type.</p> <p>Linkage type can be:</p> <ul style="list-style-type: none"> • Facility • Liability <p>By default Linkage Type should be "Facility".</p>	
Contribution%	<p>System will default this to 100%. User can modify,</p> <p>if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Liability Number	<p>Click Search to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>	
Contribution Currency	<p>Read only field.</p> <p>The LC currency will be defaulted in this field.</p>	

Field	Description	Sample Values
Line ID/Linkage Ref No	<p>Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p> Note</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</p> <p>This field is disabled and read only, if Linkage Type is Liability.</p>	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	<p>Response can be ‘Success’ or ‘Limit not Available’ based on the limit service call response.</p> <p>The value in this field appears, if you click the Verify button.</p>	
Amount to Earmark	<p>Amount to earmark will default based on the contribution %.</p> <p>User can change the value.</p>	
Expiry Date	<p>Read only field.</p> <p>This field displays the date up to which the Line is valid.</p>	
Limit Available Amount	<p>Read only field.</p> <p>This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.</p> <p>The value in this field appears, if you click the Verify button.</p>	
Response Message	<p>Read only field.</p> <p>Detailed Response message.</p> <p>The value in this field appears, if you click the Verify button.</p>	

Field	Description	Sample Values
ELCM Reference Number	Read only field. This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

Collateral Details

Collateral Details
✕

Total Collateral Amount *

Sequence Number

Collateral Contribution Amount *

Settlement Account Currency

Contribution Amount in Account Currency

Response

Collateral Amount to be Collected *

Collateral Split % *
 ▼ ▲

Settlement Account *
 🔍

Exchange Rate
 ▼ ▲

Account Available Amount

Response Message

Provide the collateral details based on the description provided in the following table:

Cash Collateral Details

Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	

Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
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Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Collateral split% to be collected against the selected settlement account gets defaulted in this field. User can change the collateral split%.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	

Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.


Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified".	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	

Field	Description	Sample Values
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	
Below fields appear in the Deposit Details grid along with the above fields.		
Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

Commission, Charges and Taxes Details

After Advices, clicking on Next button and landing on the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system. The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

Recalculate
Redefault

▲ Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Ccy	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Acctt	Amend
No data to display.											

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▲ Charge Details

Component	Tag currency	Tag Amount	Ccy	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settl. Acctt
No data to display.											

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acctt
No data to display.							

▲ Split Settlement

select	Component	Currency	Amount
No data to display.			

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/Finance A
No data to display.												

Save & Close
Close

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	
Amend	Displays whether the field is amendable or not.	

Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	

Field	Description	Sample Values
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be beneficiary by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account. Settlement account can be changed.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details ×

Component	Amount
CHGTRAMND_LIQD_S01	50
Customer	<input type="checkbox"/>
001044	Account Currency
Account	GBP
PK20010440017	Percentage
Branch	50.00
PK2	Original Exchange Rate
Exchange Rate	1
1	Negotiation Reference
Party Type	
BEN	Loan/Finance Account
AR-AP Tracking	N
<input type="checkbox"/>	
Negotiation Rate	

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/ Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBTFPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/ Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	

Field	Description	Sample Values
Negotiation Rate	Specify the negotiation rate.	

Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from Back Office.

Based on the guarantee amendment captured in the previous screen, the preview message simulated, if there are multiple SWIFT message/Advices, user can view them from the drop-down list.

If the guarantee amendment message is to be approved by the customer before issue, then the user has to select the option for draft confirmation.

Field	Description	Sample Values
Preview Swift Message		
Currency	The tax currency is the same as the commission.	
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Message Status	Read only field. Display the message status of draft message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	

Field	Description	Sample Values
Message Status	Read only field. Display the message status of the mail advice of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of mail advice of guarantee details.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>The reject codes are:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others. The user would be able to select a Reject code and give a Reject Description. <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>

Field	Description
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Back	On click Back , user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Field	Description
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>
View Events	<p>Click View Events button to view the snapshot of various events under the Guarantee Advised Amendment.</p>
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>

Settlement Details

Oracle | (DEFAULT ENTITY) | FLETCURE UNIVERSAL BAN... | ZARTAB02 | subham@gmail.com | Aug 9, 2023

Guarantee Advise Amendment | DataEnrichment :: Application No:- 032GTAA000165638

Clarification Details | Documents | Remarks | Overrides | Customer Instruction | Incoming Message | View Undertaking | View Events

Signatures

Screen (7 / 8)

Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AVL_SET_LCAMT		No	0322040001		AED	No	No
AVL_SET_LCAMTEQ		No	0322040001		AED	No	No
CLAIM_CUST_AMT		No	0323120012		EGP	No	No
CLAIM_CUST_AMT_FX		No	0323120012		EGP	No	No
CLAIM_SETTLE_AMT		No	0322040001		AED	No	No
COLLAMT_OSEQ		No	0322040001		AED	No	No
COLL_AMINDAMTEQ		No	0322040001		AED	No	No
COLL_AMTEQ		No	0322040001		AED	No	No
COLL_AMT_DECR		No	0322040001		AED	No	No
COLL_AMT_INCR		No	0322040001		AED	No	No

AGUIR_COMM_LIQD - Party Details

Transfer Type: None

Ordering Institution: [Name/Account]

Account With Institution: [Name/Account]

Receiver: 032204

Charge Details: Remitter All Charges

Senders Correspondent: [Name/Account]

Beneficiary Institution: [Name/Account]

Netting Indicator: [Netting Indicator]

Receivers Correspondent: [Name/Account]

Ultimate Beneficiary: [Name/Account]

Ordering Customer: [Name/Account]

Intermediary Institution: [Name/Account]

Intermediary Reimbursement Institution: [Name/Account]

Payment Details

Sender To Receiver 1: [Only /BX/XXX format is allowed]

Sender To Receiver 2: [Sender To Receiver 2] [Only /BX/XXX or //XXX format is allowed]

Sender To Receiver 3: [Sender To Receiver 3] [Only /BX/XXX or //XXX format is allowed]

Sender To Receiver 4: [Sender To Receiver 4] [Only /BX/XXX or //XXX format is allowed]

Sender To Receiver 5: [Sender To Receiver 5] [Only /BX/XXX or //XXX format is allowed]

Sender To Receiver 6: [Sender To Receiver 6] [Only /BX/XXX or //XXX format is allowed]

Remittance Information

Payment Detail 1: [Payment Detail 1]

Payment Detail 2: [Payment Detail 2]

Payment Detail 3: [Payment Detail 3]

Payment Detail 4: [Payment Detail 4]

Audit | Request Clarification | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

Field	Description	Sample Values

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer • None 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	
Receiver	Click Search to search and select the receiver.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>The reject codes are:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others. The user would be able to select a Reject code and give a Reject Description. <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others
Hold	The details provided will be registered and status will be on hold.
Cancel	Cancels the details captured in the screen. The task will get deleted.
Save and Close	<p>User will save the information provided and close the details captured.</p> <p>This option will not submit the request.</p>
Back	On click Back , user navigates to previous step.
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Field	Description
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>
Overrides	<p>Click to view overrides, if any.</p>
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	<p>This button displays the multiple messages (MT767+ up to 7 MT775).</p> <p>Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>
View Events	<p>Click View Events button to view the snapshot of various events under the Guarantee Advised Amendment.</p>
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>

Summary

User can review the summary of details updated in Data Enrichment Guarantee/ Standby Amendment request.

The Summary tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User can drill down from Summary Tiles into respective data segments.

User clicks on Submit button, system validates the information captured and move the task to the next stage. System should Stage once the different automated services like Limit Earmark, Amount Block, and Compliance Checks are completed successfully.

If Legal verification and or Draft Confirmation are applicable, then the task should be moved to Legal Verification and or Draft Confirmation. Otherwise, the task should be moved to Approval.

The screenshot displays the Oracle Summary page for a Guarantee Advise Amendment. The page is organized into a grid of sections, each containing key-value pairs for various fields. The sections are:

- Main:** SBLC/Guarantee Type : **BILL**, Submission Mode : **Desk**, Date of Issue : **2023-08-02**
- Guarantee Preference:** FFT Code 1, FFT Code 2
- Amendment Snapshot:** Click here to see amended details
- Additional Fields:** Click here to view Additional fields
- Advices:** Advice 1 : **AMD_EXP_CR**, Advice 2 : **GUA_AMD_INST...**, Advice 3 : **GUA_ACK_ADV...**, Advice 4 : **LC_CASH_COL...**
- Limits and Collaterals:** Contribution Currency, Amount to Earmark : **null**, Limit Status : **Not Verified**, Collateral Currency, Collateral Contr., Collateral Status : **Not Verified**, Deposit Linkage CCY, Deposit Linkage Amount
- Commission, Charges and Taxes:** Charge, Commission, Tax, Block Status : **Not Initiated**
- Preview Message:** Language : **ENG**, Preview Message : **-**
- Compliance details:** KYC : **Not Initiate...**, Sanctions : **Not Initiate...**, AML : **Not Initiate...**
- Accounting Details:** Event : **GCLM**, AccountNumber : **313100001**, Branch : **032**
- Settlement Details:** Component, Account Number, Currency

At the bottom of the page, there is a row of action buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Guarantee Preference - User can view the Guarantee Preference details.
- Amendment Snapshot - User can view the comprehensive fields amended with the previous value and new amended value.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advice detail. User can modify the details if required.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission Charges and Taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Compliance details - User can view the compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Settlement Details - User can view the settlement details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	This button displays the multiple messages (MT767+ up to 7 MT775). Click to allow parsing of MT 767 along with MT775 (up to 7) messages together to create a Guarantee Issuance. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
View Events	Click View Events button to view the snapshot of various events under the Guarantee Advised Amendment.	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	

Multi Level Approval

The Approval user can approve a Guarantee Advise Amendment Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

In Approval the user can view a snapshot of the amendment made to this transaction. Corresponding to the field the current latest Guarantee value and the new amended value is displayed.

Click Next to view the Summary

Summary

The screenshot shows the Oracle OBTFPM application interface. At the top, there is a navigation bar with the Oracle logo and user information: (DEFAULTTENTIVITY), Oracle Banking Trade Finan..., Jun 13, 2021, and ZARTAB02 subham@gmail.com. Below the navigation bar, there is a breadcrumb trail: Guarantee Advise Amendment > Approval Task Level 1 :: Application No:- PK2GTAA000017269. A menu bar contains buttons for Documents, Remarks, Overrides, Customer Instruction, Incoming Message, View Undertaking, View Events, and Signatures. The main content area is divided into several summary tiles:

Main	Guarantee Preference	Amendment Snapshot	Additional Fields	Advices
SBLC/Guarantee Type : Submission Mode : Desk Date of Issue : 2021-05-05	FFT Code 1 : FFT Code 2 :	Click here to see amended details :	Click here to view Additional fields :	Advice 1 : Advice 2 :
Limits and Collaterals	Commission,Charges and Taxes	Preview Message	Exception(Approval)	
Contribution Currency : Contribution Amount : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initiated	Language : ENG Preview Message : -	EXCEPTION : NII	

At the bottom of the page, there is an Audit button on the left and a row of action buttons: Reject, Hold, Refer, Cancel, and Approve.

Tiles Displayed in Summary:

- Main Details - User can view the application and Guarantee/Standby details. User can modify the details if required.
- Guarantee Preference - User can view the Guarantee Preference details.

- Amendment Snapshot - User can view the comprehensive fields amended with the previous value and new amended value.
- Additional Fields - User can view the additional fields.
- Advices - User can view draft guarantee details.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission Charge and Taxes - User can view and modify charge details, if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Exception(Approval) Details - User can view the exception (Approval) details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Guarantee Advice approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Customer - Acknowledgement

Customer Acknowledgment is generated every time a new Guarantee Advise Amendment is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your Guarantee Advise Amendment Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Guarantee Advise Amendment request with the below details:

CUSTOMER NAME: <CUSTOMER NAME>

CURRENCY/AMOUNT: <CCY/AMT>

YOUR REFERENCE NO: <CUSTOMER REFERENCE NUMBER>

OUR REF NUMBER: <PROCESS REFERENCE NUMBER>

We have also received the following Documents from you for processing the request:

Document Name 1

Document Name 2

Document Name n

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute issuance of Guarantee Advise Amendment.

Thank you for banking with us.

Regards,

<DEMO BANK>

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Thank you

Customer - Reject Advice

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Guarantee Advise Amendment request <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your Guarantee Advise Amendment application request dated <Application Date -DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to issue the required Guarantee Advise.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the Guarantee Advise Amendment due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Guarantee Advise Amendment application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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